# **EXHIBIT**

2

### UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF GEORGIA ATLANTA DIVISION

LISA DUER,

Plaintiff,

CIVIL ACTION FILE

v.

NO. 14-cv-01589-AT

BENSUSSEN DEUTSCH & ASSOCIATES, INC.; ELI LILLY AND COMPANY,

Defendant.

## **DECLARATION OF HARRY I. LEON**

### I, Harry I. Leon, declare as follows:

- 1. I am a patent agent registered to practice before the United States Patent and Trademark Office, Reg. Number 29019.
- 2. I prepared and filed a patent application for a device to record periodic medicinal dosages on behalf of joint inventors Ravi Navare and Lisa Duer on January 11, 2007. (A true and correct copy of the published patent application is at Ex. 1.)
- 3. Ravi Navare and Lisa Duer split the fees for the application 50/50. (A true and correct copy of the invoice and payment is at Ex. 2.)
- 4. In advance of preparing the application, on September 2, 2006, Ravi Navare provided me with conceptual drawings of several embodiments of a device to record periodic medicinal dosages attached to the side of a medicine bottle. (True and correct copies of the drawings are at Ex. 3.)
  - 5. I am not being compensated for my testimony.

I declare under penalty of perjury that the attached exhibits are true and correct copies and the foregoing testimony is true and correct. Executed this \_\_ day of June, 2014, in Atlanta, Georgia.

Harry I. Legi

# **EXHIBIT**

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S. P	PATENT APPLICATION	N	First Inventor		Lis	sa A. Duer
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4. X 5. Oatt a. f b.  6. 7. 8. Nuc	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee process Applicant claims small entity status.  See 37 CFR 1.27.  Specification [Total Pages_1(For information on the preferred arrangement, see MPEP Drawing(s) (35 U.S.C. 113) [Total Sheets]  A copy from a prior application (37 CFR 1 (for continuation/divisional with Box 18 coli.  DELETION OF INVENTOR(S) Signed statement attached deleting inventor name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  Application Data Sheet. See 37 CFR 1.76  CD-ROM or CD-R in duplicate, large table on CD (if applicable, items a. – c. are required) Computer Readable Form (CRF)  i. Computer Readable Form (CRF) ii. Transfer Request (37 CFR 1.821()  Specification Sequence Listing on: ii. CD-ROM or CD-R (2 copies); or iii. Paper	)	9. Assignation   Assignation	read Copy of the C	Statem an assistion D closure of foreignations, endme ecifically of Priority is click Requestation.	Attorney Document (if applicable)  re Statement (PTO/SB/08 or PTO-1449) gn patent documents, , & other information  ent  ard (MPEP 503) by itemized)  writy Document(s)
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Name	Harry I. Leon				-	
Address	924 Bowen St NW				e:	
City	Atlanta State	Georgia		Zip Cod	de .	30318
Country	USA Telephone	404 352	3882	Email Add	ress	hleonant@bellsouth.net
Signature	Horry I Lean			Date	De	ec 19, 2006
Name (Print/Typ	e) Harry I. Leon				Regis	stration No.

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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4. OTHER FEE(S) Non-English Specification, \$130			•	Fees Paid (\$)

SUBMITTED BY			
Signature	Story of Fra	Registration No. 29019 (Attorney/Agent)	Telephone 404 352 3882
Name (Print/Type)	Harry I. Leon .		Date Dec. 19, 2006

Other (e.g., late filing surcharge):

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## MEDICINE CAP COUNTER DEVICE

Inventors: Lisa A. Duer Ravi Y. Navare

### BACKGROUND OF THE INVENTION

Many times persons taking medications need to be reminded to take their medicine. In the prior art, a need exists for a low cost, easy-to-use device which can be fitted onto a medicine container and used to inform a patient as to whether he/she has taken his/her pills.

#### SUMMARY OF THE INVENTION

The object of this invention is to provide a low cost indicating device which can be mounted on a container holding medication and reset each time the user withdraws medicine from the container so as to aid her in determining whether she has taken the medicine in the amounts prescribed by her physician. This device is particularly useful when the same medication needs to be taken several times a day.

In accordance with the present invention, there is provided a device which comprises a structure in which is defined a plurality of generally oval-shaped grooves and a set of keys with elongated bases. Atop the midsection of each key is a generally oval-shaped protrusion which is

narrower in width and substantially shorter in length than the elongated base of the key so that the base extends both laterally and longitudinally from beneath the protrusion.

In assembled relation, the protrusion is slip-fitted into one of the grooves and can be slid back and forth manually along the longitudinal centerline of the groove. The travel of the protrusion is limited by its abutment with one or the other end of the groove. Means for temporarily holding the protrusion in abutment with a groove end includes a bump on the elongated base and a pair of spaced apart notches for engaging the bump. The notches, which are defined by the structure, are disposed transversely to the longitudinal centerline of the groove.

In the preferred embodiment, each key alternately exhibits one of two distinctive positions depending upon which end of the groove the protrusion, at any given time, is abutting. Specifically, only the first distal end of the elongated base is visible through the groove when the protrusion abuts the second end of the groove. Likewise, when the protrusion abuts the first end of the groove, only the second distal end of the elongated base is so visible. Moreover, the first distal end bears a symbol to remind a user that a pill needs to be taken; and the second distal end a symbol indicating that the pill has been taken. Suitable indicator

symbols include "NO" and "YES" (in any language) or a pair of icons. Alternately, color coding can be utilized.

Preferably, the structure defining the plurality of oval-shaped grooves is a cap with a cylindrical sidewall and forms part of the closure means of the medicine container. Fitted within the cylindrical sidewall is a disc whose upper surface serves as a bearing on which the elongated bases of the keys can be slid. Pushing the disc against the keys is a diaphragm which is held in place by a series of protrusions extending inwardly from the cylindrical sidewall.

Alternately, the structure defining the plurality of oval-shaped grooves can be mounted on a standard cap removably engageable with the medicine container or can be attached to the side of the medicine container itself.

#### BRIEF DESCRIPTION OF THE DRAWINGS

- FIG. 1 is a perspective view of the medicine cap counter device according to the present invention;
- FIG. 2 is a top plan view of the counter device according to FIG. 1;
- FIGS. 3 and 4 are side and front elevational views; respectively, of the counter device according to FIG. 1;
- FIG. 5 is a cross-sectional view of the counter device taken along line 5-5 of FIG. 2;

FIG. 6 is an exploded view of the counter device according to FIG. 1; and

FIG. 7 is a perspective view, on an enlarged scale, of one of the indicator keys in the counter device according to FIG. 1.

# DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

In the drawings, an improved device for use in reminding a person to take his medicine is indicated generally by the reference numeral 10. The device 10 includes a set of elongated keys, a support structure 11, a disc 21 and a diaphragm 23. The structure 11 defines a plurality of grooves 12, 22, 32, 42, the distal ends of each of which are rounded and mirror images of each other, giving the edges of each groove a configuration which is herein referred to as an "oval shape". Preferably doubling as a bottle cap, the structure 11 can be snap-fitted onto or otherwise temporarily secured to a medicine container (not shown) so as to form a removable closure means for it.

Each of the keys includes an elongated base 15 and a protrusion, both of which, like the grooves 12, 22, 32, 42, have rounded ends and are generally oval-shaped. Sized so that it can be slip-fitted into one of the grooves 12, 22, 32, 42, each protrusion 13 preferably measures about two-thirds the length of the groove and about one-half the length of the base 15.

Substantially wider in transverse cross-section than the protrusion 13 atop it, each elongated base 15 includes a pair of lateral extensions which are sandwiched between the underside of opposing edges of the groove 12, 22, 32, 42 into which the protrusion has been slip-fitted and a bearing surface 20 on the disc 21 (FIG. 5). Thus, as the protrusion 13 travels from one end of the groove 12, 22, 32, 42 to the other, upper surfaces on the lateral extensions and the lower surface of the base 15 slide against the underside of the structure 11 and across the bearing surface 20, respectively.

Means for temporarily holding the protrusion 13 in abutment with a groove end includes a pair of bumps 17 which extend upwardly from the lateral extensions of the base 15 and a pair of spaced apart notches 28 for engaging the bumps. Defined by the structure 11, the notches 28 so paired are disposed proximate with one of the groove ends on the underside of opposing edges of the groove 12, 22, 32, 42 and extend transversely to the longitudinal centerline of the groove (FIG. 6). Preferably, the distance separating the notches 28 in the pair proximate with each groove end is compatible with having the protrusion 13 abut said groove end at the same time the bumps 17 engage the notches in this proximate pair. In combination, the bumps 17 and notches 28 hold each of the protrusions 13

in one or the other of two distinctive positions in the grooves 12, 22, 32, 42.

With each protrusion 13 in the preferred embodiment being about one-half as long as the base 15, only one of its distal ends 19A, 19B is visible through the groove 12, 22, 32, 42 when the protrusion, in assembled relation, abuts a groove end (FIGS. 1, 2 and 7). Suitable dimensions for the groove 12, 22, 32, 42 to accommodate a key whose protrusion 13 and base 15 measure, by way of example, 10 mm and 20 mm long, respectively, are 15 mm in length and 5 mm in width.

An indication that a pill has been taken is recorded with the device 10 by sliding one of the protrusions 13 from its abutment with the first end of a groove 12, 22, 32, 42 to an abutment with the second end of the groove. In FIG. 1, by way of illustration, the protrusions 13 in grooves 12 and 22 abut the second ends of their respective grooves, whereas the protrusions in grooves 32 and 42 remain in abutment with their first ends. In the latter situation, the second distal end 19B of the base 15, with its marking "N" or the like to stand for a pill not having been taken, is visible from outside through the groove 32, 42; and the first distal end 19A with its marking "Y" or the like (to show a pill has been taken) is hidden from view.

Alternately, the distal ends 19A and 19B can be color-coded to indicate that a pill either has or has not been taken, respectively. Again, to record that the medication has actually been taken, the patient or his caregiver needs to move the protrusion 13 on one of the keys so that its distal end 19B, which initially was on view through groove 12, 22, 32, 42, is slid out of view and only the distal end 19A of the key is exposed.

In the preferred embodiment, the structure 11 includes a cylindrical sidewall within which is fitted the disc 21 (FIGS. 5 and 6). Pushing the disc against the bases 15 of the keys is the diaphragm 23. The diaphragm 23 not only provides some spring action to hold the disc 21, the keys and the structure 11 in assembled relation but also serves as a seal when the device 10 is snap-fitted onto or otherwise temporarily secured to the medicine container. Holding the diaphragm 23 in place is a plurality of spaced apart protrusions 24 which extend inwardly from the cylindrical sidewall (FIGS. 5 and 6).

In an alternate embodiment, the cylindrical sidewall of the structure 11 terminates downwardly in a flange or plate (not shown), the underside of which has a self adhesive surface which can be used to affix it to a standard cap for the medicine container. A removable cover (not shown) can be used to protect the self adhesive surface until the device is ready for use.

#### What is claimed is:

- A device for use with a medicine container from which pills can be dispensed, which comprises:
- (a) a structure mountable on the container which defines a plurality of open grooves, each groove terminating distally in opposing first and second groove ends which are each rounded; and
- (b) a set of keys, each key having an elongated base and a protrusion which is generally oval-shaped and extends upwardly from the midsection of the elongated base, the protrusion being narrower in width and substantially shorter in length than the elongated base so that the base extends both laterally and longitudinally from beneath the protrusion, the protrusion being slip-fitted into one of the grooves and being slideably back and forth along the longitudinal centerline of the groove, first and second distal ends of the elongated base each having a different indicator marking placed thereon; only the first distal end being visible through the groove when the protrusion abuts the second groove, and only the second distal end being visible through the groove when the protrusion abuts the first groove end, so that in use, distal ends of the elongated base of each key alternately exhibit one of two

distinctive indicator markings depending upon which groove end the protrusion is abutting at any given time.

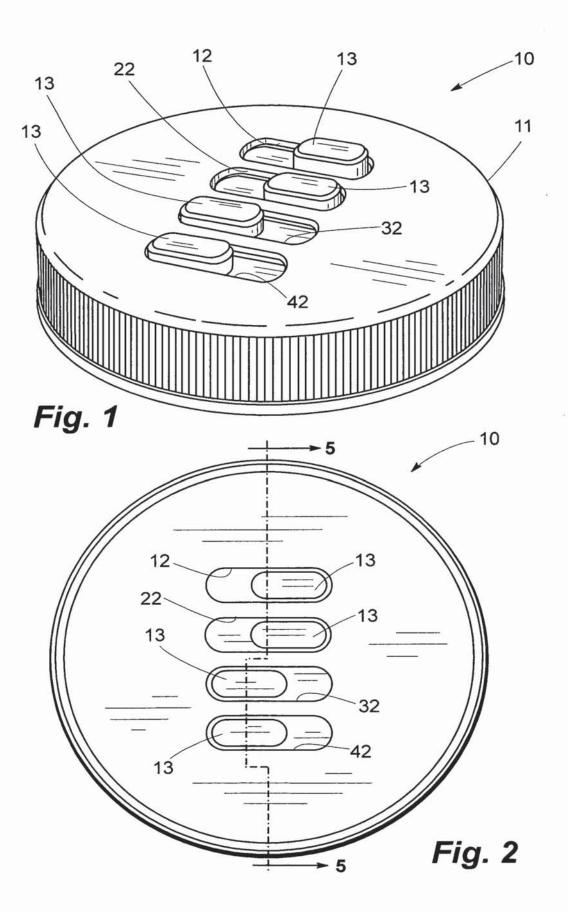
- 2. The device according to claim 1 which further comprises means for temporarily holding the protrusion in abutment with the second groove end, the holding means comprising a bump on the elongated base and a pair of spaced apart notches for engaging the bump; the notches, which are defined by the structure, being disposed transversely to the longitudinal centerline of the groove.
- 3. The device according to claim 1 which further comprises:
- (a) a disc whose upper surface serves as a bearing on which the elongated bases of the keys can be slid; and
- (b) means, including a diaphragm held in place by
  the structure, for pushing the disc against the elongated
  bases and portions of each elongated base against edges
  of the groove into which the protrusion has been slip-fitted.

#### MEDICINE CAP COUNTER DEVICE

Inventors: Lisa A. Duer Ravi Y. Navare

#### ABSTRACT

A device mountable on a medicine container for indicating whether a pill has been taken, which includes a set of keys and a plurality of open grooves with rounded ends. Atop the elongated base of each key is a small protrusion, which, like each groove, is generally oval in In assembled relation, each protrusion is slipfitted into one of the grooves. Slideable along the length of the groove, the protrusion, while it abuts one or the other groove end, is held temporarily in one of two distinctive positions. In view through an elongated portion of the groove not occupied by the protrusion is only one of two distal ends of the elongated base -provided the protrusion abuts a groove end -- and the distal end's distinctive indicator marking. By sliding one protrusion to the opposing groove end after each use, a patient can easily record his pill consumption.



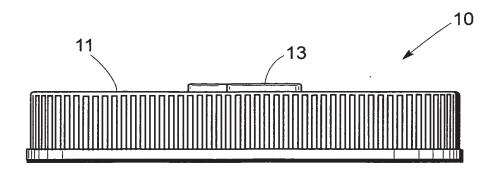


Fig. 3

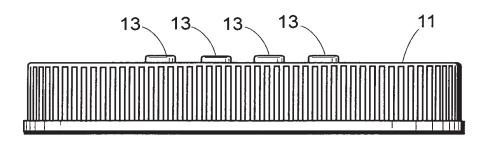
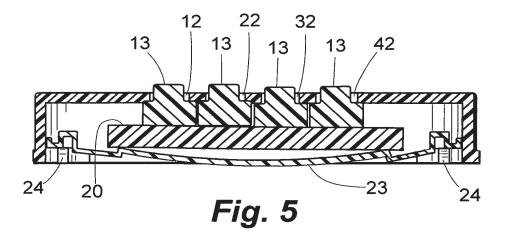
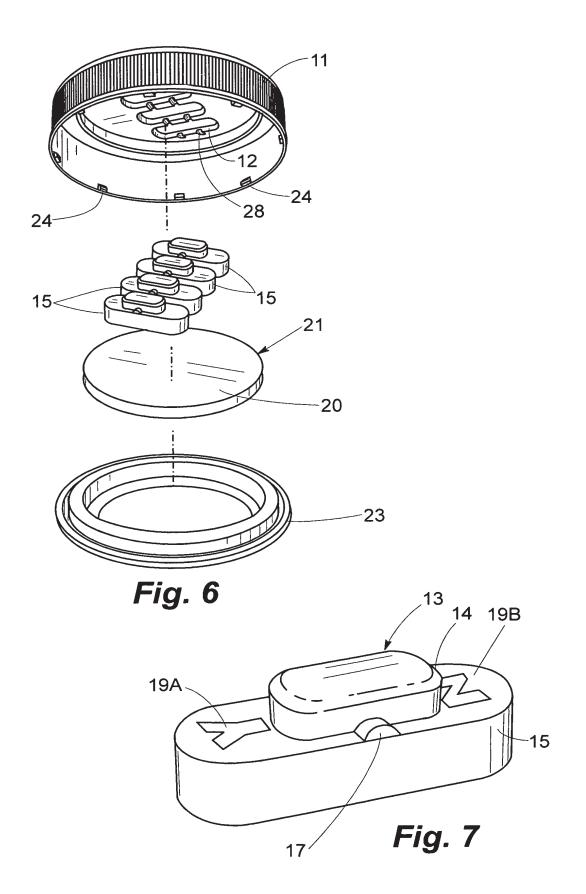


Fig. 4





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Each inventor's residence, m	nailing address,	and citizenship are	as stated below next t	o their nam	ne.	
I believe the inventor(s) nam	ed below to be t	the original and first	t inventor(s) of the subj	ect matter	which is claimed and for	
which a patent is sought on t	he invention ent	titled:				
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[Page 2 of 2]

Additional inventors or a legal representative are being named on the

supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

PTO/SB/02A (07-06)
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Name of Additional Joint Inventor, if any		A neti	tion has been filed for this	unsigned in	ventor	-	_
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City Selection of information in an include Service Se	State	7/10	Zip	Country			

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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APPLICATION	

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FEE RECORD SHEET

# 01/16/2007 BABRAHA1 00000007 11652420

01	FC:2011			150.00	OP.
02	FC:2111			250.00	OP
03	FC:2311			100.00	OP

PTO-1556 (5/87)

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PTO/58/03 (12-04) Approved for use through 1/31/2008. Oxio 0:851-0002

U.S. Petori and Tredoment Office; U.S. DEPARTMENT OF COXXXERCE Under the Properties Reduction Act at 1995, no persons are required to respond to a assessment behaviories untoo B displays a vilid CAMB control number.

patent application fee Determination record Application or Doctor Manther Substitute for Form PTO-875 Effective December 8, 2004 APPLICATION AS FILED - PART I OTHER THAN OR SMALL ENTITY (Column 1) (Cólumn 2) SMALL ENTITY NUMBER FILED NUMBER EXTRA RATE (8) FEE (8) RATE (8) FEE (8) BASIC FEE N/A N/A 150.00 NA (37 CFR 1.16(a), (b), or (c)) 300.00 SEARCH FEE N/A N/A NVA \$250 NIA (37 CFR 1 16(tg. (1. or (m)) \$500 EXAMINATION FEE ſ NA N/A N/A \$100 (37 CFR 1.16(a). (a). a (a) NIA \$200 TOTAL CLASSAS XS 25 (37 OFR 9.16(1)) . X\$50 minus 20 = OR INDEPENDENT CLAIMS KICO X200 (37 OFR 1.16(h)) a If the specification and drawings exceed 100 sheets of paper, the application size fee due APPLICATION SIZE is \$250 (\$125 for small entity) for each (37 CFR 1.16(a)) additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s) +180= MULTIPLE DEPENDENT CLAIM PRESENT (37 OFR 1.16(1)) +360= "If the difference in column 1 is less than zero, enter "0" in column 2. TOTAL 00 TOTAL APPLICATION AS AMENDED - PART II OTHER THAN (Column 1) (Column 2) (Column 3) OR SMALL ENTITY SMALL ENTITY CLAIMS HIGHEST REMAINING PRESENT NUMBER RATE (\$) ADDI-RATE (S) -ADOS-**AFTER PREVIOUSLY EXTRA** TIONAL. TIONAL AMENOMENT PAID FOR FEE (\$) FEE (S) W Total Minus ENDME (37 CFR-1.10(1) X\$ 25. X\$50 OR Independent Of CFR LIGHT Minus X100 X200 OR Application Size Fee (37 CFR 1,16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.160) +180= +360= OR TOTAL TOTAL ADO'L FEE OR ADD'L FEE (Column 1) (Column 2) (Column 3) CLAIMS HIGHEST REMAINING NUMBER PRESENT  $\mathbf{\omega}$ RATE (S) RATE (\$) ADDI-ADOI-AFTER EXTRA PREVIOUSLY EN MI TIONAL TIONAL **IMENOMENT** PAID FOR FEE (\$) FEE (\$) Total Minus ENDM X\$ 25 = (37.CFR 1.16m) X\$50 OR Independent Minus (37 CFR 1.16(N)) X100 X200 OR' Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.160) +180= **+360=** OR TOTAL . TOTAL OR ADO'L FEE ADD'L FEE If the entry in column 1 is less than the entry in column 2, write "O" in column 3. "If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete. including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# **EXHIBIT**

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H.

H. LEON ENTERPRISES,  ATLANTA, GA 30318  924 BOWEN ST., N.W.	INC.
PH.	404/352-3882
RECEIPT FOR PAYMENT	
Name: LISA A. DUER & RAVI Y NOVARE	
City: Wood STOCK GA 30128 MARIETON	View Rd
For the Sum of: \$ 1250 Check No. 2836 Cash	30/26
With Balance Due of: § 0.0	
As Payment for the Following Services:	:
U.S. UTILITY PATENT Application	- <u> </u>
Project Titled: Mediane Car Counter De	21 C E
Estimated Date of Completion: 8= + 16, 2006	
Date of Payment: Aug 30 2006	:
Received by: Atomy Atom	

# H LEON ENTERPRISES, INC.

924 Bowen St., N.W., Atlanta, GA 30318 Ph,/FAX 404 352- 3882		
Δ	674	945 96 (F)
Title of Invention: TAKE'N' SCIDE	55	9/10
PROFESSIONAL FEES::		- 6 CF)
U.S. Patent Search  Utility Patent Application with Z Embodiments \$ 300.00  Design Patent Application	4.	9
U.S. PATENT OFFICE FEES: # 1060, [ of least one Filing Utility Application. \$500.00	rela	lu oce i
Filing Utility Application. \$500.00  Filing Design Application. \$215.00  Issue Fee Utility Patent \$700.00 + 300 Peddies  Issue Fee for Design Patent \$400.00	delles	-
MAINTENANCE FEES ON PATENT (Utility Patent Only):		
Duc 3-1/2 Years after Issue       \$450.00         Due 7 Years After Issue       \$1150.00         Due 11-1/2 Years After Issue       \$1900.00		
PROFESSIONAL FEES FOR APPEAL TO THE BOARD OF PATENT APPEALS: (Note: An Appeal is very seldom needed.)	: 1	
Notice of Appeal\$250.00 Filing of Appeal Brief \$750.00		
PATENT OFFICE FEES FOR APPEAL:		
Filing of Notice of Appeal\$ 250.00 Filing of Appeal Brief\$ 250.00		
Hongel Lear		
arry I. Leon, Ph.D., P.E., Patent Agent Date Hog 27, 2006		*.

# **EXHIBIT**

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